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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

99365CON

First Inventor

Todd W. Antrim

Title

METHOD FOR PROVIDING...

Express Mail Label No.

ER524410247US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 17]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Sheets 6]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Reader Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ copy of parent  
Assignment Papers
10. ☒ 37 CFR 3.73(b) Statement of Invention  
(when there is an assignee) ☒ Power of Attorney ☒ copy of
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1499 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent
17. ☒ Other: COMMUNICATION WITH CONTINUING APPLICATION

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation☐ Divisional☐ Continuation-in-part (CIP)of prior application No.: 09/454,848

Prior application information:

Examiner Quoc Duc TranArt Unit: 2643

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label2685

(Insert Customer No. or Attach bar code label here)

OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Mark G. Knedeisen

Registration No. (Attorney/Agent)

42,747

Signature

Mark G. Knedeisen

Date

9/12/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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 PTO  
10/661338  
09/12/03

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**FEE TRANSMITTAL**  
**for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 750.00**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Todd Antrim
Examiner Name	
Art Unit	
Attorney Docket No.	99365CON

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

11-1110

Kirkpatrick &amp; Lockhart LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$)</b> 750.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20** = 0	X	-0-
2	-3** = 0	X	-0-
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) -0-

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) -0-**SUBMITTED BY**

Name (Print/Type)	Mark G. Knedeisen	Registration No. (Attorney/Agent)	42,747	Telephone	412/355-6342
Signature	<i>Mark Knedeisen</i>	Date	9/12/02		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Todd W. Antrim

Title

METHOD FOR PROVIDING TELEPHONE...

Attorney Docket Number

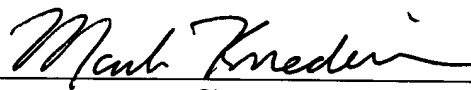
99365CON

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

9/12/03

Date



Signature

412/355-6342

Telephone number

Mark G. Knedeisen

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<b>Applicant:</b>	Antrim et al.	)	
		)	<b>Examiner:</b>
<b>Serial No.:</b>		)	
		)	<b>Art Unit:</b>
<b>Filing Date:</b>		)	

### COMMUNICATION WITH CONTINUING APPLICATION

September 12, 2003

Dear Sir:

This communication is being submitted with the above-captioned application (hereinafter, the “subject application”), which is a continuation of U.S. Patent Application Serial No. 09/454,848, filed December 7, 1999 (the “parent application”).

PI-1044275 v1 0224285-0361

application, and in particular paragraph [005] of the subject application, has been amended to reflect the wording of claim 1 of the subject application.

Applicants are also concurrently filing an Information Disclosure Statement listing all the references cited during prosecution of the parent application. Pursuant to 37 C.F.R. § 1.98(d) copies of the references are not enclosed, although Applicants will be happy to provide them upon request.

Additionally, Applicants provide the following Remarks regarding the patentability of the pending claims of the application.

### **REMARKS**

Claims 1-5 of the subject application match claims 1-5 of the parent application as amended and as rejected in the Office Action mailed July 28, 2003 in connection with the parent application. In that Office Action, claims 1 and 2 were rejected as being obvious over U.S. Patent 5,771,279 to Cheston, III et al. in view of U.S. Patent 6,061,439 to Bleile et al.; claims 3-5 were rejected as being obvious over Cheston in of Bleile and further in view of U.S. Patent 6,243,373 to Turock; and claim 1 was rejected as being anticipated by U.S. Patent 5,539 to Wilkes. As set forth below, Applicants submit that claims 1-5 are not anticipated or obvious in view of the cited references.

**A. Claims 1 and 2 are not obvious over Cheston in view of Bleile.**

**1. Cheston and Bleile fail to teach or suggest all of the elements of claim 1.**

A *prima facie* case of obviousness under 35 U.S.C. §103(a) requires, among other things, that the cited references, when combined, teach or suggest every element of the claim. See MPEP §2142. Applicants submit that the Office has not established a *prima facie* case of

obviousness with respect to claim 1 because not all of the elements of claim 1 are taught or suggested by Cheston and Bleile, taken alone or in combination. In particular, Applicants submit that Cheston and Bleile, taken along or in combination, fail to teach or suggest “providing telecommunications dialing features *for commercial classes of dedicated telephone service...*,” as recited in claim 1, among other things.

First, consider the Cheston reference. Although the Cheston reference discloses both commercial and residential customer premises equipment (“CPE”) in communication with an advanced intelligent network (“AIN”), nowhere does Cheston disclose that the AIN communicates with the commercial CPE via “commercial classes of dedicated telephone service,” as recited in claim 1. To the contrary, although the Cheston reference discloses some dedicated telephone communication channels (such as ISDN and T-1 lines) between components of the disclosed network, Applicants submit that the Cheston reference does not teach or even suggest a commercial class dedicated telephone communication channel for a terminating piece of CPE for providing enhanced dialing features.

The portions of the Cheston specification cited in the Office Action for the proposition that the Cheston reference teaches or suggests this feature, namely col. 2, lines 30-34 and lines 44-48, fails to provide the basis for teaching or suggesting the features of claim 1 described above. The text at col. 2, lines 30-34, merely states that similar to a business setting, residential CPE may be connected to a local area network (“LAN”) and that, therefore, both residential and business CPE have taken on the attributes of a network unto themselves. At col. 2, lines 44-48, the text of the Cheston reference merely discloses that some CPEs can control calling features internally. These portions of the Cheston specification, however, merely disclose that there may exist both residential and business CPEs and that some CPEs can control calling features

internally. Applicants submit, however, that the Cheston reference does not teach or suggest providing enhanced telecommunications dialing features *for commercial classes of dedicated telephone service*, as recited in the invention of claim 1.

The Bleile reference also fails to teach or suggest this feature of claim 1 (and, as a secondary reference, the Office does not rely on it as suggesting the feature).

Therefore, the combination of the Cheston and Bleile fails to teach or suggest, “providing enhanced telecommunications dialing features for commercial classes of dedicated telephone service,” as recited in claim 1.

2. The Office impermissibly relies on hindsight rationale in combining the Cheston and Bleile references.

Even if the combination Cheston and Bleile were to teach or suggest every element of claim 1, without a motivation or suggestion to combine the cited references, a rejection based on obviousness under § 103(a) is improper. *See* MPEP §2142. Possible sources for the motivation to combine references include: (1) the nature of the problem to be solved, (2) the teachings of the prior art, and (3) the knowledge of persons of ordinary skill in the art. In order to safeguard against the use of hindsight based on Applicants’ disclosure, however, the Office must show a motivation to combine the cited references to support a determination of obviousness. In other words, the Office must present a convincing line of reasoning as to why a person of ordinary skill in the art, confronted with the same problem as the Applicants and without knowledge of the claimed invention, would pick and choose various elements from the cited references and combine them in such a way as to arrive at Applicants’ claimed invention.

In the obviousness rejection of claim 1, however, the Office Action is completely devoid of any possible sources that may give rise to the necessary motivation to make the alleged combination of the cited references so as to arrive at the invention of claim 1. It is unclear upon

what basis the Office relies to conclude that there was any motivation to combine the cited references to arrive at Applicants' invention. It is not apparent from the Office Action as to whether the Office relies on the nature of the problem to be solved, the teachings of the prior art or the knowledge of persons of ordinary skill in the art to conclude that there is proper motivation to make the alleged combination. The Office merely states, "it would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize the teaching of Bleile et al of providing dialing features from central office of the conventional PSTN services and applied into the commercial service of Cheston, III et al in order to broaden services to different network environment." No other reason was given by the Office in support of its conclusion that claim 1 was obvious.

In that connection, the Office gave no explanation as to the specific understanding or principle presumably within the grasp or knowledge of a person having ordinary skill in art that would motivate one without having specific knowledge of Appellant's invention to make the alleged combination. Therefore, one can reasonably infer that, absent such explanation, the Office selected the cited references with the assistance of hindsight. Resorting to the use of hindsight is not permissible to make out a case of obviousness when lacking a motivation to combine the cited references. Without the necessary motivation or suggestion to combine, the Office has failed to establish that claim 1 is obvious over the cited references.

Therefore, Applicants submit that claim 1 is not obvious over Cheston and Bleile. In addition, by virtue of their dependence upon claim 1, Applicants submit that claims 2-5 are also not obvious over the references cited in the Office Action.

**B. Claim 1 is not Anticipated by Wilkes**

A claim is anticipated only if each and every element as set forth in the claim is found,




either expressly or inherently, in the prior art reference. *See* MPEP § 2131. Applicants submit that Wilkes does not disclose, among other things, “providing *enhanced* telecommunications dialing features,” where the features are provided “at the central office,” as recited in claim 1. Rather, Wilkes merely discloses dialing an abbreviated extension to place a call to other stations within a group of telephone lines. *See* Wilkes, col. 1: 10-15 and col. 7: 34-49. Applicants submit that Wilkes does not disclose the provision of *enhanced* dialing features such as, for example, call tracing, call waiting, repeat calling, speed dialing and return calling. Therefore, Applicants submit that Wilkes does not anticipate claim 1.

#### CONCLUSION

Applicants respectfully request a Notice of Allowance for the pending claims in this application. If the Examiner is of the opinion that the instant application is in condition for disposition other than allowance, the Examiner is respectfully requested to contact the undersigned attorney of record at the telephone number listed below in order that the Examiner’s concerns may be expeditiously addressed.

Respectfully submitted,

  
Mark G. Knedeisen  
Reg. No. 42,747

KIRKPATRICK & LOCKHART, LLP  
Henry W. Oliver Building  
535 Smithfield Street  
Pittsburgh, Pennsylvania 15222

Ph. (412) 355-6342  
Fax (412) 355-6501